

**Declaration for Patent Application  
English Language Declaration**

Attorney Docket No. A1148.0000/P006

First Name Inventor Dan A. Steinberg

**COMPLETE IF KNOWN:**

☒ Submitted with initial filing  
☐ Submitted after initial filing (surcharge required 37 CFR 1.16(e))

Application No. Not Yet Assigned

Filing Date Concurrently Herewith

Group Art Unit Not Yet Assigned

Examiner Not Yet Assigned

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**OPTICAL ASSEMBLY FOR COUPLING WITH INTEGRATED OPTICAL DEVICES AND METHOD FOR MAKING**

the specification of which

☒ is attached hereto

OR

☐ was filed on \_\_\_\_\_

as United States Application No. or PCT International Application No. \_\_\_\_\_

and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application.

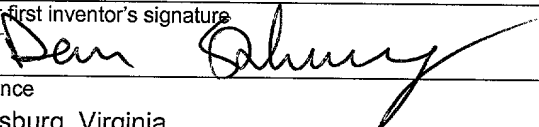
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign applications(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's right certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

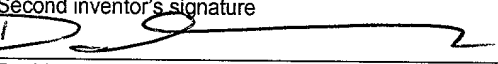
**Prior Foreign Application(s)**

			Priority Not Claimed	Certified Copy Attached	
				YES	NO
_____ (Number)	_____ (Country)	_____ (Filing Date)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Filing Date)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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☐ Additional prior foreign applications are listed on a supplemental data sheet attached hereto.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Dan A. Steinberg	
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Date

11/12/01

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Fourth inventor's signature

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Date


11/12/01



# **POWER OF ATTORNEY OR AUTHORIZATION OF AGENT**

<b>Application Number</b>	Not Yet Assigned
<b>Filing Date</b>	Filed Concurrently
<b>First Named Inventor</b>	Dan A. Steinberg
<b>Title</b>	OPTICAL ASSEMBLY FOR COUPLING WITH, etc.
<b>Group Art Unit</b>	Not Yet Assigned
<b>Examiner Name</b>	Not Yet Assigned
<b>Attorney Docket No.</b>	A1148.0000/P006

I hereby appoint:

☐ Practitioners at Customer Number  

**OR**

☒ Practitioner(s) named below:

*Customer Number Bar Code*


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Thomas J. D'Amico	28,371	Laurence E. Fisher	37,131
Donald A. Gregory	28,954	Ryan H. Flax	48,141
James W. Brady, Jr.	32,115	John C. Luce	34,378
Jon D. Grossman	32,699	William E. Powell, III	39,803
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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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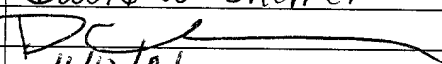
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I am the:

- ☐ Applicant/Inventor.
- ☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
*Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).*

## **SIGNATURE of Applicant or Assignee of Record**

Name	David W. Sherrer
Signature	
Date	11/12/01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below\*.

☐ \*Total of 1 forms are submitted.

Please type a plus sign (+) inside this box



PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035

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**POWER OR ATTORNEY OR AUTHORIZATION  
OF AGENT  
(Supplemental Sheet)**

Attorney Docket No.

A1148.0000/P006

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Michael Bergman	42,318		
Mark E. Strickland	45,138		
Salvatore P. Tamburo	45,153		
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Christopher S. Chow	46,493		